

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Holger von STENZEL, et al.

Application No./Patent No.: 10/560,902 Filed: April 5, 2006

Titled: ION-CONDUCTIVE THERMOPLASTIC COMPOSITIONS FOR ELECTROCHROMIC GLAZING

Dritte Patentportfolio
Beteiligungsgesellschaft mbH & Co. KG, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:
A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Holger von STENZEL, et al. To: Kuraray Specialties Europe GmbH
The document was recorded in the United States Patent and Trademark Office at
Reel 017997, Frame 0113, or for which a copy thereof is attached.
2. From: Kuraray Specialties Europe GmbH To: Kuraray Europe GmbH
The document was recorded in the United States Patent and Trademark Office at
Reel 019102, Frame 0100, or for which a copy thereof is attached.
3. From: Kuraray Europe GmbH To: Dritte Patentportfolio Beteiligungsgesellschaft mbH & Co. KG
The document was recorded in the United States Patent and Trademark Office at
Reel 022724, Frame 0014, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

William W. Schwarze
Signature

September 27, 2011
Date

William W. Schwarze
Printed or Typed Name

Attorney for Assignee
Title